

■ True or false: any index will do

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## Peter Judge



Writing 4GL since 1996, working on a variety of frameworks and applications.

Active member of the OpenEdge community and speaker at international conferences

Focusing on integration with OpenEdge applications, PASOE, software architecture and web technologies

# Modernization in Focus



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# Agenda

- Why indexes?
- Simple index selection
- Logic trees with OR
- Temp-tables and other miscellanea



## Indexes .... Why do we need 'em?

- Applications run faster
  - Fewer records are read
  - Fewer records sent to the client
  - Client does less – ideally no – additional filtering / selection
- Uniqueness enforcement
- Happier DBAs

# Vibe coding is not your friend

Verify that you are using the index(es) you think you are using

- Static queries (FOR, FIND, OPEN QUERY)
  - COMPILE ... XREF
- Dynamic queries
  - LOG-MANAGER QryInfo log-entry type

```
log-manager:logfile-name      = "c:/temp/wshop.log"
log-manager:logging-level     = 3.
log-manager:log-entry-types   = "4GLTrace,4GLTrans,QryInfo".
```
- Tools like ProTop that read various VSTs

## LOG-MANAGER QryInfo output

- For example  
for each order no-lock where salesrep begins 'd'

Type: Dynamically Opened Query

PREPARE-STRING: for each order no-lock where salesrep begins 'd'

Prepared at Runtime

Client Sort: N

Scrolling: Y

Table: sports2000.Order

Indexes: SalesRep

Times prepared: 1

Time to prepare (ms): 0

DB Blocks accessed:

sports2000 : 366074

DB Reads:

Table: sports2000.Order : 182913

Index: Order.SalesRep : UNAVAILABLE



The background of the slide is a dark blue field filled with a complex, light blue circuit-like pattern. This pattern consists of various geometric shapes including circles, squares, and lines, interconnected to form a network. Several white arrows are scattered throughout the design, pointing in different directions. The overall aesthetic is technical and digital.

# Index selection





**The Compiler constructs a logical tree from a query and evaluates both sides of each AND or OR, looking for index criteria. ABL counts equality, range, and sort matches (for OR) and uses them to select and bracket indexes.**

**The precise rules are numerous and complex, and it is not important to fully understand their details.**

## Before we get to the rules...

- This only applies to ABL and not SQL
- Rules are applied in hierarchical order to filter indexes
  - This is important: Each rule is applied and the result is one or more **remaining** indexes
  - Use a worksheet approach to make the rules "easy" to apply
- The first 6 rules only apply to a subset of indexes
  - Compiler scans all fields in the query and selects all indexes that have leading components with those fields

**Rules continue to be applied until there is only one index left**

Table: Customer

	sRepStateCity	8	3 + SalesRep	+	State	+	City
w	Comments	8	1 + Comments				
	CountryPost	8	2 + Country	+	PostalCode		
pu	CustNum	8	1 + CustNum				
	Name	8	1 + Name				
	SalesRep	8	1 + SalesRep				
	sRepState	8	2 + SalesRep	+	State		
	CustNumUseless	8	2 + CustNum	+	SalesRep		

FOR EACH Customer WHERE **State** = 'Leinster' AND **City** = 'Dublin'

// The sRepStateCity index is NOT eligible for selection  
// NO indices are pre-selected for this query

those fields

**Rules continue to be applied until there is only one index left**

Table: Customer

	sRepStateCity	8	3 + SalesRep	+ State + City
w	Comments	8	1 + Comments	
	CountryPost	8	2 + Country	+ PostalCode
pu	CustNum	8	1 + CustNum	
	Name	8	1 + Name	
	SalesRep	8	1 + SalesRep	
	sRepState	8	2 + SalesRep	+ State
	CustNumUseless	8	2 + CustNum	+ SalesRep

FOR EACH Customer WHERE **State** = 'Leinster' AND **SalesRep** = 'XXX'

// The sRepState index IS eligible for selection

those fields

**Rules continue to be applied until there is only one index left**



# The Rules

For the first-pass set of indexes, filter using the following rules:

1. Pre-select only indexes with leading components in the where clause
2. If CONTAINS use word-index
3. Unique index with all components involved in the equality matches
4. Most active equality matches
5. Most active range matches
6. Most active sort matches

If multiple indexes remain, select one from

7. The primary index
8. First index alphabetically by name

# The Rules

For the first-pass set of indexes, filter using the following rules:

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6. Most active sort matches

>1

If multiple indexes remain, select one from

8. The primary index
9. First index alphabetically by name

## Superset Selector

If there are indexes that will select supersets of records that are selected by other indexes, then we eliminate those.



## Sort Selector Skip

Index records are already sorted by the index fields, so we don't need to reevaluate



## order Table indexes

Flags	Index Name	Cnt	Field Name
u	CustOrder	2	+ CustNum + Ordernum
	OrderDate	1	+ OrderDate
pu	OrderNum	1	+ Ordernum
	OrderStatus	1	+ OrderStatus
	SalesRep	1	+ SalesRep
w	SRepW	1	+ SalesRep
	SRepDate	2	+ SalesRep + OrderDate
	DateSRep	2	+ OrderDate + SalesRep
	SDateOstat	2	+ ShipDate + OrderStatus

## for each order

Selection Rule	Index	U		PU		W				SDateOstat
		CustOrder	OrderDate	OrderNum	OrderStatus	SalesRep	sRepW	SRepDate	DateSRep	
If "CONTAINS", use word-index		X	X	X	X	X		X	X	X
Unique index with complete equality match		X	X	X	X	X		X	X	X
Most active equality matches		X	X	X	X	X		X	X	X
Most active range matches		X	X	X	X	X		X	X	X
Most active sort matches		X	X	X	X	X		X	X	X
The primary index										
First index by name										

8







where salesrep = 'BBB'

Selection Rule	Index	U CustOrder	OrderDate	PU OrderNum	OrderStatus	W SalesRep	sRepW	SRepDate	DateSRep	SDateOstat
If "CONTAINS", use word-index	<div></div>					X		X		
Unique index with complete equality match						X		X		
Most active equality matches						✓				
Most active range matches										
Most active sort matches	<div></div>									
The primary index										
First index by name										

where salesrep = "DKP" and orderdate = 09/05/2011

Selection Rule	Index	U CustOrder	OrderDate	PU OrderNum	OrderStatus	W SalesRep	sRepW	SRepDate	DateSRep	SDateOstat
If "CONTAINS", use word-index			X			X		X	X	4
Unique index with complete equality match			X			X		X	X	
Most active equality matches								X	X	2
Most active range matches								X	X	
Most active sort matches								X	X	
<hr/>										
The primary index										
First index by name										

where salesrep = "DKP" and orderdate = 09/05/2011 by salesrep

Selection Rule	Index	U CustOrder	OrderDate	PU OrderNum	OrderStatus	W SalesRep	sRepW	SRepDate	DateSRep	SDateOstat
If "CONTAINS", use word-index	<div></div>		X			X		X	X	4
Unique index with complete equality match			X			X		X	X	
Most active equality matches								X	X	2
Most active range matches								X	X	
Most active sort matches	<div></div>							X	X	
The primary index										
First index by name									✓	

**where salesrep = "BBB" and orderStatus = "Shipped"**

Selection Rule	Index	U CustOrder	OrderDate	PU OrderNum	OrderStatus	SalesRep	W sRepW	SRepDate	DateSRep	SDateOstat
If "CONTAINS", use word-index	<div></div>				X	X		X		3
Unique index with complete equality match					X	X		X		
Most active equality matches					✓	✓				>1
Most active range matches										
Most active sort matches										
The primary index										
First index by name										



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where salesrep >= "DKP" and orderdate >= 09/05/2011 by salesrep

Selection Rule	Index	U CustOrder	OrderDate	PU OrderNum	OrderStatus	W SalesRep	sRepW	SRepDate	DateSRep	SDateOstat
If "CONTAINS", use word-index	<div></div>		X			X		X	X	
Unique index with complete equality match			X			X		X	X	
Most active equality matches			X			X		X	X	
Most active range matches						X		X	X	
Most active sort matches						X		X		
The primary index										
First index by name										

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where orderdate = 09/05/2011 and shipdate = 10/01/2011

Selection Rule	Index	U CustOrder	PU OrderDate	OrderNum	OrderStatus	SalesRep	W sRepW	SRepDate	DateSRep	SDateOstat
If "CONTAINS", use word-index			X						X	X
Unique index with complete equality match			X						X	X
Most active equality matches			✓							
Most active range matches										
Most active sort matches										
The primary index										
First index by name										



# Most active equality matches - considerations

- From *General rules for choosing a single index*

4. Use the index with the most active equality matches. Equality matches are active if both of the following conditions are met:

- They apply to successive, leading index components.
- They are joined by AND s (not OR s or NOT s).

- There appears to be a "hidden rule" related to the number of fields in an index that are matched – if 1 out of 1 field is matched, and 1 of 2 fields is matched, the index with the 1 / 1 field match is used
- More proof that you need to check your assumptions

<https://docs.progress.com/bundle/openedge-abl-database-trigger-and-indexes/page/General-rules-for-choosing-a-single-index.html>

# Most active equality matches - considerations

- This can really bite when dealing with parent-child relations  
for each OrderLine where OrderNum = 42 and ItemNum = 11
- We really want this query to use the first 2 indexes, but only the *itemnum* index is used

Table: OrderLine

Flags	Index Name	Cnt	Field Name
	itemnum	1	+ Itemnum
pu	orderline	2	+ Ordernum + Linenum
	OrderLineStatus	1	+ OrderLineStatus

# Breaking index selection

- Expressions break bracketing

**FOR EACH** order **NO-LOCK** **WHERE** MONTH(orderDate) = 1 ...

- BEGINS does NOT break bracketing

- Considered a range bracket

**FOR EACH** order **NO-LOCK** **WHERE** salesRep **BEGINS** "D"

- Uses the order.salesRep index

- MATCHES breaks bracketing

- When in doubt, test and verify

The background of the slide is a dark blue field filled with a complex, abstract circuit diagram. The diagram consists of numerous interconnected lines, circles, squares, and arrows, creating a dense, technical pattern. The lines are white and light blue, while the shapes are in various shades of blue. The overall effect is that of a sophisticated electronic or software architecture blueprint.

**That's all ... OR?**

# customer Table indexes

## Indexes

Flags	Index Name		Cnt	Field Name
w	Comments	10	1 +	Comments
	Country	9	1 +	Country
	CountryPost	10	2 +	Country + PostalCode
pu	CustNum	10	1 +	CustNum
	Name	10	1 +	Name
	SalesRep	10	1 +	SalesRep
	SrepCountryCLimit	9	3 +	SalesRep + Country + CreditLimit



for each Customer where Name = "Acme Inc" or SalesRep = "BBB"

What indexes are used?

for each Customer where Name = "Acme Inc" or SalesRep = "BBB"

What indexes are used?

- If you guessed Name , bravo.
- If you guessed SalesRep , bravo.
- Validate with COMPILE ... XREF

```
custom.p 45 ACCESS sports2000.Customer Name  
custom.p 45 ACCESS sports2000.Customer Name  
custom.p 45 STRING "Acme Inc" 8 NONE TRANSLATABLE  
custom.p 45 STRING "BBB" 5 NONE TRANSLATABLE  
custom.p 45 SEARCH sports2000.Customer Name  
custom.p 45 SEARCH sports2000.Customer SalesRep
```

for each Customer where Name = "Acme Inc" or Name = "RunRun Roads"

What indexes are used?

for each Customer where Name = "Acem Inc" or Name = "RunRun Roads"

What indexes are used?

- If you guessed Name , bravo.
- Validate with COMPILER ... XREF

```
custom.p 45 ACCESS sports2000.Customer Name  
custom.p 45 ACCESS sports2000.Customer Name  
custom.p 45 STRING "Acme Inc" 8 NONE TRANSLATABLE  
custom.p 45 STRING "RunRun Roads" 5 NONE TRANSLATABLE  
custom.p 45 SEARCH sports2000.Customer Name  
custom.p 45 SEARCH sports2000.Customer Name
```

# The OR operator makes a (huge) difference

Each side of an **OR** is its own, distinct index selection operation

for each customer where Name = "Acme Inc" or SalesRep = "BBB"

- 2 distinct index selection operations

for each customer where Name = "Acme Inc" or Name = "RunRun Roads"

- This is *a*lso 2 distinct index selection operations
- A "logic tree" is built until we hit an **AND**. Break down the query until we hit **ANDs**
- This implies that we can force multiple indexes to be used via **ORs** and parentheses
- Now we apply our rules to the **AND** part of the logic tree, as above



for each customer where  
or

customer.Name = "Acme Inc"

customer.Name = "RunRun Roads"

Selection Rule	Index	W Comments	Country	CountryPost	PU CustNum	Name	SalesRep	SrepCountryCLimit
If "CONTAINS", use word-index						X		
Unique index with complete equality match						X		
Most active equality matches						✓		
Most active range matches								
Most active sort matches								
The primary index								
First index by name								

Selection Rule	Index	W Comments	Country	CountryPost	PU CustNum	Name	SalesRep	SrepCountryCLimit
If "CONTAINS", use word-index						X		
Unique index with complete equality match						X		
Most active equality matches						✓		
Most active range matches								
Most active sort matches								
The primary index								
First index by name								

```

custom.p 45 ACCESS sports2000.Customer Name
custom.p 45 ACCESS sports2000.Customer Name
custom.p 45 STRING "Acme Inc" 8 NONE TRANSLATABLE
custom.p 45 STRING "RunRun Roads" 5 NONE TRANSLATABLE
custom.p 45 SEARCH sports2000.Customer Name
custom.p 45 SEARCH sports2000.Customer Name

```

for each Customer where Name = "Acme Inc" or Name = "RunRun Roads" use-index

What indexes are used?

for each Customer where Name = "Acme Inc" or Name = "RunRun Roads" use-index Name

What indexes are used?

- If you guessed Name , bravo.
- But only one index was used because we told it use one index
- Validate with COMPILE ... XREF

```
custom.p 45 ACCESS sports2000.Customer Name  
custom.p 45 ACCESS sports2000.Customer Name  
custom.p 45 STRING "Acme Inc" 8 NONE TRANSLATABLE  
custom.p 45 STRING "RunRun Roads" 5 NONE TRANSLATABLE  
custom.p 45 SEARCH sports2000.Customer Name WHOLE-INDEX
```

## Complex OR with parentheses (1)

How many "simple clauses" are here?

```
for each Customer where  
  ( Customer.Name = 'Acme Inc' or  
    ( Customer.Country = 'AAA' or  
      ( Customer.Name = 'Acme Inc' and Customer.Country = 'DEN' )  
    )  
  )  
or  
( Customer.Salesrep = 'BBB' and Customer.Salesrep = 'XXX' )
```

# Complex OR with parentheses (1)

How many "simple clauses" are here?

```
45 SEARCH sports2000.Customer Name
45 SEARCH sports2000.Customer Country
45 SEARCH sports2000.Customer Country
45 SEARCH sports2000.Customer Name
45 SEARCH sports2000.Customer Srep
```

for each Customer where

```
( Customer.Name = 'Acme Inc' or
  ( Customer.Country = 'AAA' or
```

```
    ( Customer.Name = 'Acme Inc' and Customer.Country = 'DEN' )
```

```
)
```

```
)
```

or

```
( Customer.Salesrep = 'BBB' and Customer.Salesrep = 'XXX' )
```

1

Name

2

Country

3, 4

Country, Name

5

Srep



## Complex OR with parentheses (2)

How many "simple clauses" are here?

```
for each Customer where  
( Customer.Name = 'Acme Inc' or  
  ( Customer.Country = 'AAA' or  
    ( Customer.Name = 'Acme Inc' and  
      (Customer.Country = 'DEN' or Customer.Country = 'USA' )  
    )  
  )  
)  
or  
( Customer.Salesrep = 'BBB' and Customer.Salesrep = 'XXX' )
```

## Complex OR with parentheses (2)

```
46 SEARCH sports2000.Customer Name
46 SEARCH sports2000.Customer Country
46 SEARCH sports2000.Customer Name
46 SEARCH sports2000.Customer SalesRep
```

How many "simple clauses" are here?

```
for each Customer where
(
  Customer.Name = 'Acme Inc' or
  (
    Customer.Country = 'AAA' or
    (
      Customer.Name = 'Acme Inc' and
      (Customer.Country = 'DEN' or Customer.Country = 'USA' )
    )
  )
)
or
( Customer.Salesrep = 'BBB' and Customer.Salesrep = 'XXX' )
```

- 1 Name
- 2 Country
- 3 Name
- 4 Srep

The background of the slide is a dark blue field filled with a complex, light blue circuit diagram. The diagram consists of numerous interconnected nodes, represented by circles and squares, with lines indicating the flow of connections. Some nodes are highlighted with solid black dots. Various symbols are scattered throughout, including arrows pointing in different directions, a double arrow pointing down, and a double arrow pointing right. The overall aesthetic is technical and digital.

**But wait! There's more!**

# Indexes and unknown values

- Unique indexes enforce a constraint of a one value per index-field per table ...

BUT multiple unknown values in ABL are allowed

- If you want truly unique values, mark the field(s) in the index as mandatory.
- Index field(s) containing unknown values sort **higher** than any other value

```
create Customer.  
assign Customer.custnum = 1  
Customer.name = 'Peter'.
```

```
create Customer.  
assign Customer.custnum = 2  
Customer.name = 'Paul'.
```

```
create Customer.  
assign Customer.custnum = ?  
Customer.name = 'Mary'.
```

```
for each Customer by CustNum desc:
```

CustNum	Name
-----	
?	Mary
2	Paul
1	Peter

## indexes and case sensitivity

- By default, the AVM doesn't care about case for fields (whether indexed or not)
  - Field (data) values are stored as entered
  - Index field values are stored in UPPER CASE

"Paul" = "PAUL" = "paul"

- If the fields are case sensitive
  - Field (data) values are stored as entered
  - Index field values are stored as entered

"Paul" <> "PAUL" <> "paul"

- Sorting on these fields may differ
- Word indexed fields are always treated as case-insensitive

```
-----  
Error (Press HELP to view stack  
trace)  
-----  
** Customer already exists with  
"Paul". (132)
```

OK Help

-----



## Temp-table anomalies

- The first-alphabetical rule is replaced by a first-defined rule
- Fields cannot be marked as mandatory so uniqueness must be guaranteed by application code

## Is the correct index always the right index?

- The compiler does not know how much data is in the DB nor how it is distributed across the tables you are querying
- The developer should have an idea of the data distribution. Just because you use the exact index on a very large table does not mean that inverting a query cannot run faster

# The precise rules are numerous and complex, and it is not important to fully understand their details.

- Understanding the index "selection" rules is vital
  - There are subtleties and oddities though
- Get used to validating that your expected index is actually being used
  - COMPILE XREF
  - LOG-MANAGER
  - INDEX-INFORMATION()
- Use ABL Database Triggers and Indexes doc page contains the rules and other useful information
  - <https://docs.progress.com/bundle/openedge-abl-database-trigger-and-indexes/page/Database-Index-Usage.html>



## Conferences in 2025



- ~~■ OpenEdge World-Tour/PUG UK & Ireland, March 19<sup>th</sup>/20<sup>th</sup>~~
- ~~■ PUG Lithuania in Vilnius, April 9<sup>th</sup>~~
- ~~■ OpenEdge World-Tour/PUG Germany in Cologne, May 13<sup>th</sup>/14<sup>th</sup>~~
- ~~■ PUG Baltics in Tallinn, May 15<sup>th</sup>~~
- ~~■ PUG France, June 3<sup>rd</sup>~~
- ~~■ PUG South Africa, Jo'burg and Cape Town, Sept 3, 4 and 8, 9~~
- **PUG Challenge and OpenEdge World-Tour Boston, Sep 28<sup>th</sup>- Oct 1<sup>st</sup>**
- **PUG Challenge Valencia, November 5<sup>th</sup>-7<sup>th</sup>**

# Questions





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- Key Trends in OpenEdge Development – Reflections on 202...** (1:33:57)
- PUG Challenge 2024 - Reasons to Attend, Peter Judge and Mike...** (2:02)
- Webinar: Building ABL Clients and Services based on Swagger** (1:01:54)
- Webinar: OpenEdge Legacy Application refactoring with the...** (1:20:17)

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